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Attorneys for Plaintiff  
 SECURITIES AND EXCHANGE COMMISSION

**IN THE UNITED STATES DISTRICT COURT  
 IN AND FOR THE SOUTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

vs.

HOLLINGSWORTH, ROTHWELL, et al.,  
 Defendant(s).

Case No.: 07 CV 6146 Judge Castel

**PROOF OF SERVICE**

I, Tony Klein, declare:

I am a Registered Process Server and was retained to serve process in the above-referenced matter on the following person or entity. I was on the dates herein mentioned over the age of eighteen years and not a party to the above-entitled action. I am authorized to serve legal process in the State of California. The following facts are within my personal knowledge and if sworn as a witness I can and will truthfully and competently testify thereto.

I delivered a copy of the **Summons & Complaint** to:

**PARTY SERVED: THEODORE ROXFORD a/k/a/ LAWRENCE NIREN**

**ADDRESS:** **Gateway Inn  
 438 O'Farrell Street, #306  
 San Francisco, CA 94108**

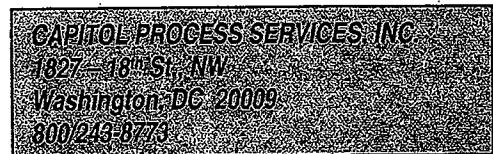
**DATE/  
 TIME:** **June 30, 2007  
 10:52 AM**

**MANNER OF  
 SERVICE:**

Personal Service  
 Substituted Service

(White, male, 5'9", 170 lbs., long light brown hair, wire rimmed glasses, 53 years old)

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and this declaration is executed on June 30, 2007 at San Francisco, California.



  
 Tony Klein

**PROOF OF SERVICE**

**UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

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SECURITIES AND EXCHANGE COMMISSION,	:	
	:	No. 07-CV-6146
Plaintiff,	:	ECF case (PKC)
v.	:	
THEODORE ROXFORD	:	
a/k/a LAWRENCE DAVID NIREN and	:	
HOLLINGSWORTH, ROTHWELL &	:	
ROXFORD,	:	
Defendants.	:	
	:	

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**DECLARATION OF SERVICE ON THEODORE ROXFORD**  
**A/K/A LAWRENCE DAVID NIREN**

I, SARAH L. LEVINE, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am a member, in good standing of the Bar of the Commonwealth of Massachusetts and have been admitted *pro hac vice* in this action to serve as attorney for plaintiff United States Securities and Exchange Commission (the “Commission”).
2. On June 30, 2007, at 10:52 a.m., acting at the Commission’s request, Tony Klein of Capitol Process Services, Inc., served defendant Theodore Roxford, who is also known as Lawrence David Niren with (i) the Summons issued in this litigation by the United States District Court for the Southern District of New York on June 29, 2007; and (ii) the Commission’s Complaint filed with the United States District Court for the Southern District of New York on June 29, 2007. See Exhibit A hereto (Affidavit of Service of Tony Klein). He did so by handing these documents to Mr. Roxford a/k/a Niren in person at the Gateway Inn, 438 O’Farrell Street, San Francisco, California. See id.

3. On June 30, 2007, Mr. Klein executed an Affidavit of Service setting forth the details of his efforts to serve Mr. Roxford a/k/a Niren. See id.
5. The original Summons will be filed with the Court in paper form.
6. I declare, upon information and belief, under penalty of perjury under the laws of the United States, that the foregoing is true and correct.

Executed: August 28, 2007  
Washington, DC

Respectfully submitted,

/s/ Sarah L. Levine  
Sarah L. Levine (MA-651718)(*pro hac*)

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